Assessment Might Dictate the Curriculum, but What Dictates Assessment?

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Abstract

Almost every tertiary educator makes assessment choices, for example when they create an assessment task, design a rubric, or write multiple-choice items. Educators potentially have access to a variety of evidence and materials regarding good assessment practice, but may not choose to consult them or be successful in translating these into practice. In this article, we propose a new challenge for the Scholarship of Teaching and Learning: the need to study the disjunction between proposals for assessment “best practice” and assessment in practice by examining the assessment decision-making of teachers. We suggest that assessment decision-making: involves almost all university teachers; occurs at multiple levels; and is influenced by expertise, trust, culture and policy. Assessment may dictate the curriculum from the student’s perspective, and we argue that assessment decision-making dictates assessment.
Assessment of learning is a core concern of the Scholarship of Teaching and Learning as it is necessary for students and represents a routine part of a university teacher’s work; as Boud (1995) states, “Students can, with difficulty, escape from the effects of poor teaching, they cannot (by definition if they want to graduate) escape the effects of poor assessment” (p. 35). It is also a particularly demanding part of academic life. Price et al. (2011) note “achieving a balance between summative and formative assessment requires complex, contextual thinking,” contending that part of the problem with current assessment thinking may be “oversimplified or poor decision-making” (p. 486). As assessment is so central to higher education and presents significant challenges for university teachers, it provides a key arena for exploring how academics’ decisions can shape the educational environment.

Assessment can be thought of as making “judgements about students” work, inferring from this what they have the capacity to do in the assessed domain, and thus what they know, value, or are capable of doing” (Joughin, 2009, p. 16). While the individual mark or feedback may appear the most important component of assessment to an individual student (or educator), we argue that this judgement should in many ways be considered the least important factor affecting the assessment process. The shaping of the assessment task, including such factors as purpose, timing and co-curricular activities, may be more critical for the impact on learners and learning. We call the choices that frame the assessment, ”assessment decisions”. It may be helpful to consider “assessment decisions” across three levels, which occur at quite different points of time and may involve different people. (See Table 1).

Table 1

*Three Levels of Assessment Decisions with Questions commonly Asked at Each Level*
<table>
<thead>
<tr>
<th>DECISION LEVEL</th>
<th>QUESTIONS ASKED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Curriculum level decisions</td>
<td>• How many assessments will this subject have, and what is their nature?</td>
</tr>
<tr>
<td></td>
<td>• Will I put the paperwork in to replace that examination with a project, even though it won’t come into effect until next year?</td>
</tr>
<tr>
<td>Pedagogy level decisions</td>
<td>• Will I design a rubric for this task, and if so, will I consult students in its design?</td>
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<td></td>
<td>• What format will the final exam take?)</td>
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<tr>
<td>Operational level decisions</td>
<td>• How will I provide feedback to students about a topic they seem to misunderstand?</td>
</tr>
<tr>
<td></td>
<td>• My grades appear to be much higher than the other markers - should I do something about that?</td>
</tr>
<tr>
<td></td>
<td>• I’m running out of time to mark these assignments, can I achieve the same learning outcomes if I give brief feedback?)</td>
</tr>
</tbody>
</table>

The cumulative implications of these assessment decisions are not currently well understood. This may be partly because assessment decision-making is often dispersed across the academic workforce, with not all academics having responsibility for making decisions across all levels. If we include sessional and part-time tutors or teaching assistants, a substantial body of university teachers might only make decisions at an operational level, while decisions at the curriculum and pedagogy levels might be deferred to more experienced, tenured or full-time university teachers. Conversely, some senior academics might not be involved in decisions at the operational level because of the engagement of sessional staff. This simple example of the
impact of employment status reveals the critical influence of the context upon educators’
capacity to make changes. It is impossible to advocate a study of assessment decisions which
does not take into consideration the influences of the academic practice environment.

The previous example highlights the issue of knowledge translation (Straus, Tetroe &
Graham, 2009), where understanding how “good” assessment practice in a theoretical sense may
not result in its application within a particular local context. This difference has been identified
by Eley (2006), who empirically studied the gap between educational theory and enacted
educational decision-making. His work describes teachers’ emphasis on the local immediate
context rather than accessing “higher level conceptions of practice. Similarly, recent case studies
in assessment ‘thinking’ in higher education indicated that, although university teachers could
learn more sophisticated thinking about assessment, this may not lead to changed assessment
practices (Offerdahl & Tomanek, 2011).

We argue that it is likely that there are many factors influencing this ‘gap’. For example,
it might be that expertise plays a role in assessment decisions. Kreber’s (2002) categories of
excellent, expert, and scholarly teachers may be helpful. Kreber suggests that excellent teachers
make somewhat intuitive decisions, informed by their experiences, whereas expert teachers are
able to articulate the (often scholarly) evidence or rationale that informs their choices. Scholarly
teachers advance beyond expert teachers by sharing and systematising their decisions. Good
assessment practices are likely to be influenced by more than just assessment expertise: if you
lack the time to make criteria explicit to students, then you may choose not to; if your
departmental culture opposes peer feedback then you might avoid it; if you are employed on a
short-term contract you might not choose to make long-term curricular changes. Kreber argues
that incentives for excellent practice are often not substantial enough for teachers to move beyond ‘good enough’ practice, and we propose this may also influence assessment decisions.

Another factor which may be particularly pertinent to assessment decision-making is the diversity of perspectives on the primary purpose of assessment. Assessment always performs multiple functions (Boud, 2000). In addition to its stated aims of assessing specified learning outcomes, it acts to both overtly and implicitly communicate what is valued by a profession or discipline. Moreover, while we often consider assessment’s summative, certifying and credentialing role, assessment also serves formative and developmental ends. An individual university teacher’s view of the purposes of assessment might influence the assessment decisions they make. When faced with the opportunity to choose between a final examination or frequent low-stakes assessment, a university teacher who believes the role of assessment is rigorous certification may make a different decision to a teacher who privileges assessment’s developmental role. These beliefs may be unconscious or unstated, but possibly shape assessment decisions.

Institutional policies, which tend to emphasise rigorous credentialing (Boud, 2007), must also have a large influence upon assessment decisions but it is not clear to what degree these policies affect assessment decisions made by university teachers. Emerging research with Australian university teachers suggests they have “reasonable scope to make important decisions about what and how they teach (Bennett, et al. 2011, p. 164)”; this flexibility extends to assessment decisions. Are these decisions made in accord with policy or in spite of it? Price et al. (2011) identify a difficulty working within assessment frameworks which must meet institutional requirements; they are often set well in advance and are unresponsive to changes in practice. Additionally, any assessment regime must also be sustained over time and across different
personnel. Further, some assessment strategies may be more demanding on teacher or student than is practicable. Decisions about assessment may also be driven by increases in student numbers and reductions in funding. The trend towards modularised programs may reduce opportunities for formative assessment because tasks must be slotted into shorter teaching blocks. These contextual tensions increase the difficulty of what are already complex assessment decision-making tasks.

The issue of “trust” further complicates the ‘assessment decisions’ landscape. Carless (2009) argues that we sometimes shy away from more innovative forms of assessment in favour of traditional forms because proven methods are perceived as trustworthy and reliable. Privileging the perceived trustworthiness of multiple-choice testing might lead to the decision for a high-stakes exam at the cost of long-term learning. While this assessment type might mitigate plagiarism (Carless, 2009), it may lead to learning of false facts from engagement with the alternative but incorrect options (Marsh, et al. 2007) or simply promote study strategies that emphasis memorisation. Conversely, a sense of trust may positively influence assessment, particularly when it leads to shared decision-making involving on-the-ground academics and middle and senior management (Carless, 2009).

Ramsden (1992) popularized the notion that assessment defines the curriculum for students. We argue that assessment decision-making is the antecedent of this. Assessment practice is mediated by decisions that are currently poorly understood, but may be influenced by a variety of factors. Unaddressed research questions are plentiful and include: What contextual (or other) factors influence the assessment decisions made by university teachers? How do university teachers make decisions about assessment? Who makes what sorts of assessment decisions, and when do they make them? We urge scholars of learning and teaching to move
beyond the study of abstracted assessment practices to understand how assessment principles can translate to improve outcomes in local contexts.
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