A realist review of infant feeding counselling to increase exclusive
breastfeeding by HIV-positive women in sub Saharan-Africa: what
works for whom and in what contexts.

4 Simangaliso Nyoni¹

- 5 <u>Nyon0005@flinders.edu.au</u>
- 6 Linda Sweet²
- 7 <u>Linda.sweet@flinders.edu.au</u>
- 8 Jacinta Clark¹
- 9 Jacintaclark@gmail.com
- 10 Paul Ward¹
- 11 <u>Paul.ward@flinders.edu.au</u>
- 12 ¹ College of Medicine and Public Health, Flinders University
- 13 ² College of Nursing and Health Sciences, Flinders University

14

- 15 Corresponding Author
- 16 A/Prof Linda Sweet
- 17 GPO Box 2100, Adelaide 5001
- 18 <u>linda.sweet@flinders.edu.au</u>

20 Abstract:

21 **Background:** The most recent World Health Organization (WHO) guidelines on Human 22 Immunodeficiency Virus (HIV) and infant feeding promotes exclusive breastfeeding (EBF) in 23 resource limited settings for the prevention of mother to child transmission (PMTCT) of HIV. 24 Literature reveals poor uptake of WHO feeding guidelines, with mixed feeding being a 25 regular practice. In light of the limited success in EBF promotion, a realist review was 26 conducted, analysing the use of feeding counselling to increase exclusive breastfeeding by 27 HIV-positive women in sub Saharan-Africa, where the majority of HIV childhood infections 28 occur. We considered what mechanisms were at play, for whom and in what circumstances 29 they led to exclusive breastfeeding. 30 Methods: Because infant feeding counselling is a complex social intervention with a non-31 linear causal pathway for preventing mother to child HIV transmission, a realist 32 methodology was chosen for this study. Using Pawson's five stage sequence for conducting 33 realist reviews, the results are presented as a set of identified and refined context-34 mechanism-outcome (CMO) configurations. These CMO configurations were used to show 35 how particular outcomes occurred in specific contexts due to a generative mechanism and 36 were developed through identifying a review question and program theory, searching for 37 primary studies, quality appraisal, data extraction and data synthesis. 38 **Results:** From an initial 1010 papers, 27 papers met the inclusion criteria and were used to 39 refine the program theory. Exclusive breastfeeding occurred when a woman was motivated 40 regarding motherhood, had correct learning and understanding about infant feeding 41 practices through counselling, no fear of breastfeeding or the impact of opposing feeding

related cultural beliefs, and the support from others to be assertive about their feeding

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43 choices when faced with pressure to mix-feed. An additional CMO configuration was added

44 during the refinement of the program theory identifying that mothers needed to not just

45 understand but also prioritize EBF advice over cultural beliefs and stigma.

46 **Conclusion:** The intended audience for this review are researchers and health care workers

47 in PMTCT, particularly sub-Saharan Africa, who may benefit from the work that has been

48 done to identify contexts for the success and failures of EBF.

49 Keywords

50 Exclusive breastfeeding (EBF), Mixed feeding, Infant feeding counselling, HIV, Preventing
51 mother to child transmission (PMTCT), Health care provider (HCP).

52 Background:

53 The United Nations Program on HIV/AIDS (UNAIDS) estimates that globally, 35 million 54 people were living with human immunodeficiency virus (HIV) at the end of 2013, with 55 children under 15 years old accounting for 3.2 million of these cases [1]. The 2012 UNAIDS 56 report identifies Sub-Saharan Africa as being most affected by the acquired immune 57 deficiency syndrome (AIDS) epidemic, with 69% of all HIV affected individuals being located 58 in this region [2]. Moreover, 21 of the 22 high priority countries for HIV burden of disease 59 are in Sub-Saharan Africa [3]. Women and children now account for over 60% of new HIV 60 infections in this region [4]. Over 90% of all children diagnosed with HIV in 2011 were 61 geographically located in sub-Saharan Africa [2]. Vertical or mother to child transmission 62 (MTCT) is the main cause of HIV infection in children, and can occur in utero during pregnancy, during the birthing process, or during breastfeeding [3]. Though there has been 63 64 a significant decline in the number of children being newly infected with HIV and dying from

related causes due to identification and treatment, transmission prevention efforts still
need to be up-scaled to work towards ending the HIV/AIDS epidemic [2].

67 The World Health Organization (WHO) identifies four approaches to preventing mother to 68 child transmission (PMTCT) of HIV, namely: preventing HIV infection in reproductive-age 69 women; preventing unintended pregnancies in HIV-positive women; providing support and 70 treatment to HIV-positive women and their families; and providing testing, treatment and 71 counselling for all pregnant women [2]. Aside from the reduction of HIV infection rates in 72 adults, interventions that have been primarily responsible for the sharp reductions in HIV 73 infection rates in children include antiretroviral therapy (ART) to reduce viral load and infant 74 feeding counselling [2]. The recent recommendation for universal provision of ART for all 75 HIV infected childbearing women has significantly reduced MTCT rates [5]. ART reduces the 76 viral load and therefore the risk of MTCT through maternal to child body fluid exposure. As 77 HIV is present in breast milk, the MTCT rates in the absence of other interventions is 78 between 20-45% for breastfed infants [6, 7]. Infant feeding interventions are known to be a 79 significant factor in PMTCT. In high-income countries breastfeeding avoidance is 80 recommended for preventing postnatal transmission of HIV; however, in low and middle-81 income countries formula use can be dangerous [8]. In these areas, conditions are often not 82 acceptable, feasible, affordable, sustainable or safe for formula feeding, and increased 83 mortality is seen in children under 5 years of age [9]. Exclusive breastfeeding (EBF) is defined 84 as the provision of breastmilk without supplemental nutrition. ART reduces the quantity of 85 the virus in breastmilk. ART in combination with EBF has a HIV transmission rate of less than 86 one percent [5, 8]. Therefore, exclusive breastfeeding concurrently with ARTs has benefits in 87 both reducing HIV transmission and child mortality [9].

88	Because of this, the most recent WHO guidelines on HIV and Infant Feeding promote
89	exclusive breastfeeding, for the optimal balance between malnutrition prevention and
90	reduction of vertical transmission risk for HIV in areas where resources are limited [10].
91	Whilst exclusive breastfeeding is promoted in the context of ART for mother and infant, it is
92	still encouraged even in the unavailability of ART, as evidence shows the majority of infants
93	exposed to HIV who are exclusively breastfed do not contract HIV [10, 11]. It is theorized
94	that mixed feeding, defined as some breastfeeding with the addition of other nutrients,
95	affects gastrointestinal mucosa integrity and potentially facilitates MTCT of HIV [1, 8].
96	Importantly, exclusive breastfeeding decreases the risk of MTCT of HIV by 4 to 10-fold
97	compared to mixed feeding [8]. The current recommendation is EBF for the first 6 months of
98	life followed by the introduction of other foods, with cessation of breastfeeding only
99	occurring once the infant is on a sufficient diet without breastmilk [10].
100	There have been multiple reports of poor uptake of the WHO feeding guidelines; with infant
101	feeding counselling being considered a 'weak link' in PMTCT programs [10, 12, 13]. Rates of
102	EBF are low, and mixed feeding, which is often done with local herbs, porridge, water or
103	cow's milk, is common [14-18]. The limited success in infant feeding counselling
104	interventions, as evidenced by low EBF rates suggests that there are factors affecting HIV
105	positive women when making and adhering to infant feeding choices [19]. Based on this, we
106	aimed to investigate the factors associated with infant feeding counselling that result in
107	exclusive breastfeeding for HIV-positive women. The question asked in this review is: What
108	are the key mechanisms at play for a HIV positive woman to exclusively breastfeed, and in
109	what circumstances and for whom do these mechanisms lead to EBF?

110 Methods: 'what works for whom, in what circumstances, in what 111 respects, and how?'

112 Infant feeding counselling in the PMTCT of HIV is a complex social intervention with many 113 interacting components and a non-linear causal pathway [20]. This complexity is evidenced 114 in the mixed success of infant feeding counselling in promoting EBF adherence, showing that 115 interventions work well in some contexts but can produce different outcomes in other 116 contexts [20, 21]. For this reason, a realist methodology was considered most appropriate 117 to study infant feeding counselling for promotion of exclusive breastfeeding [22]. The goal 118 behind a realist review is to go beyond studying whether programs work, and focus on 119 explanation-building, identifying the mechanisms behind how programs work, or why they 120 fail to work. This is done by considering the underlying program theories and then 121 examining the available evidence to determine the relevance of these theories [22, 23]. For 122 social interventions, the internal processes that trigger a behaviour change within 123 individuals are known as mechanisms [23]. The analysis of the way programs work is 124 achieved through identifying these causal relationships, arranged in CMO configurations, to 125 show how specific outcomes are influenced by certain contextual influences due to a 126 generative mechanism (23). The development and evaluation of these CMO configurations 127 was based on Pawson's five stage sequence for conducting a realist review [23]. This 128 includes identification of the review question and scope, determining the search strategy, 129 ensuring proper article selection and quality assessment, extraction of the data, and data 130 analysis and synthesis (23).

131 Step 1: Identification of the review question

132	To develop an abstract model explaining how, and in what contexts, counselling works to
133	result in exclusive breastfeeding, we first had to think about how the program was theorised
134	to work [23]. An initial scoping for theories was carried out by searching the literature to
135	identify challenges and successes in infant feeding counselling for HIV positive women in
136	low and middle-income countries, as evidenced through both qualitative and quantitative
137	research. Grey literature, including UNAIDS and WHO infant feeding reports were also
138	sought in this process.
139	The preliminary program theory was then developed from an initial literature review by
140	hypothesizing for whom infant feeding counselling works, and what mechanisms are
141	triggered in what contexts, for a woman to exclusively breastfeed (or not). The initial
142	program theory (shown in table 1) was made up of four CMO groups thought to be integral
143	in achieving EBF. This process of focussing and refining the program theory continued
144	through the project, overlapping with the other stages of the realist review process.

Table 1 – Initial Program Theories

Context	Mechanism	Outcome
Mother aware of HIV status and has received infant feeding counselling	Desire for motherhood	Mother values education by HCP
	Motivated by prospect of child survival	Motivated to maximise child survival through appropriate feeding method
		Maintains EBF, avoiding alternate or mixed feeding.

Frequent counselling sessions	Clarity around expectations, Trust of HCP	Increased health literacy regarding infant feeding
(health care providers) - based on up to date, evidence-based counselling.	Correct learning and understanding about infant feeding occurs for mother	EBF adherence
High quality counselling appropriate to local context		
Community with high levels of stigma regarding HIV and breastfeeding avoidance	Mother desires to avoid stigma	Avoidance of replacement feeding, choosing EBF
Partner and healthcare worker support	Empowerment to adhere to EBF and be assertive about feeding choices	Supported in EBF choice and activities necessary for adherence
		Feeding decisions are reinforced – to promote adherence

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147 Step 2: Searching

148 Instead of altering the conceptual focus of the search for each CMO configuration, a more

149 systematic approach to theory testing was undertaken [23]. To identify sources that

150 supported or refuted the theoretical framework presented, assistance was sought from a

151 medical librarian to develop key words for the search process. Our primary search was

152 conducted using the Ovid MEDLINE® database. This search strategy was also translated to a

153 Google advanced search, SCOPUS, Applied Social Sciences Index and Abstracts (ASSIA), PAIS

154 Index (ProQuest), POPLINE, ELDIS search, Health Evidence Network (WHO/Europe) and

155 WHOLIS (Library & Information Networks for Knowledge Database) for grey literature. The

156 search terms used, and outcomes are shown in Appendix 1.

157 **Step 3: Quality appraisal**

158 The next stage involved assessing the relevance and rigor of the data obtained to test the

159 program theories. Unlike systematic reviews, a single hierarchy of evidence with

160 randomised controlled trials (RCTs) at the top does not apply in realist reviews [23]. The

161 relevance of each article was assessed during screening of titles and abstracts according to

162 the pre-defined inclusion and exclusion criteria. These included:

- Does the research question or aim refer to infant feeding counselling, exclusive
 breastfeeding adherence and/or PMTCT?
- Is the research specific to low and middle-income countries?
- Is the study published in English?
- Is the research/publication based in and/or targeted to Sub-Saharan Africa? This
 delineation was made for manageability of the size of the review, because a large quantity
 of evidence was found globally.
- Is the study published after 2010? From this point, WHO recommended the use of ART
 during breastfeeding for all HIV-positive women in PMTCT regardless of CD4+ count [5].

172 Following this, full texts of 89 likely relevant papers were further analysed to determine

173 whether they could contribute evidence to any of the theorized CMO configurations. From

- this, 35 articles remained and were assessed for quality. Noting that other groups have
- 175 conducted realist reviews and deviated from Pawson's methodology [24, 25]; we too,
- approached the rigor assessment with a more systematic approach using critical appraisal
- tools from The Joanna Briggs Institute [26]. Exclusion of studies, however, was not based on
- the quality of the whole study but, in a more realist fashion, determined by whether the
- 179 findings relevant to the review were well supported [23]. Twenty seven articles were

180 included in the review. Figure 1 shows the flow of article identification.

181 Figure 1: Prisma flow chart of search results.

182 [insert figure 1 here]

183 **Step 4: Extraction of the data:**

- 184 This research phase involved finding evidence from the literature to test our hypothesized
- 185 program theories. NVivo 11 software was utilised to organise any evidence identified in the
- 186 group of studies remaining after quality appraisal. Each individual article was read and
- 187 interrogated and relevant details coded in NVivo. The type of data extracted included
- 188 information on participants included in the study, such as HIV status and EBF rates.
- 189 Explanations into how and why the program worked or not, and any evidence relating to the
- 190 theorized CMO configurations was coded.

191 Step 5: Data analysis and synthesis

Data analysis and synthesis involved identifying patterns of the coded outcomes and their associated mechanisms from the literature. This data was then used to refine the initial program theory, to explain how the outcome of EBF is achieved, and in what contexts the associated mechanisms fire or misfire.

196 Results:

197 Search results and characteristics:

198 The search process resulted in 27 studies being included in this realist review. Appendix 2

summarizes the document characteristics and key findings of these papers. The 27 studies

- 200 included in the review consisted of 17 qualitative studies [1, 8, 13, 16, 18, 19, 21, 27-36], 9
- cross-sectional studies [9, 14, 15, 17, 37-41], and 1 cohort study [42]. Most study
- 202 populations were based in South Africa [16, 18, 29, 30, 36, 37], Kenya [21, 32-34, 41] and
- Nigeria [9, 14, 15, 40]; other countries included Ethiopia [27, 38], Malawi [8, 19], Tanzania

204 [17, 35], Uganda [42], Botswana [39], Democratic Republic of Congo [31], Ghana[28],

205 Zambia [1], and one study was generalised over Sub-Saharan Africa [13].

206 Desire for Motherhood/motivation to promote child survival 207 Moderate evidence for the theorized mechanisms of desire for motherhood and motivation 208 to promote child survival was identified in the literature. The data collected supports the 209 idea that a mother's desire to protect her child from HIV infection and promote survival has 210 a strong influence on PMTCT participation [8, 14, 30, 32]. The choice to commence and 211 adhere to EBF emanates from a mother's desire to prevent child HIV infection and to not 212 cause harm to her child. 213 "When I tested positive, life lost meaning. But the thought of the baby I was carrying gave 214 me a reason to live. Because the baby is so innocent I did not want to do anything that would 215 hurt him. I also did not want him to be born with HIV. So, I accepted to take medication and 216 follow the doctor's advice."[32, p.649] 217 Two contexts were identified from the literature that altered the workings of this 218 mechanism, namely young mothers and health care professionals. It was found that young 219 mothers value their pre-motherhood freedom over their new motherhood responsibilities

and transfer the 'mother' role to the infant's grandparents. Such child care arrangements

result in making replacement/mixed feeding the feeding mode of choice [16]. In these

circumstances, the desire for motherhood – though it may be present – did not result in

EBF. A grandmother from a study conducted in South Africa where many HIV positive

224 mothers were under 25, reports:

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"... the second week after delivery the mother does not want to breastfeed, once she is
visited by her friends they go to night clubs and you can see that the mother does not have
time to breastfeed her baby, the baby is yours." (GMFG1B48) [16, p. 106]

228 Another context where theorized mechanisms did not lead to EBF is seen when considering

the counselling messages of HCPs. If counselling was provided with the intention of

230 facilitating zero HIV transmission as opposed to promoting child survival (including from

other causes of mortality), this had a number of effects, including, transferring the fear

related to breastfeeding from the HCP to the mother, and also changing the motivation to

promote child survival to completely erasing the risk of HIV transmission through infant

feeding [13, 27, 31, 35, 40]. HCPs were seen to provide incomplete education to mothers on

feeding options, and steering towards their choice of feeding method [31]:

"Infant feeding counselling was dominated by the concern to save the child from HIV more
than working towards infant survival. With this aim in mind, the majority of the counsellors
ignored the content of the AFASS criteria" [27, p. 6].

239 In some cases, mothers were counselled on the harms of HIV transmission through

240 breastmilk, but had poor knowledge of the benefits of EBF as a strategy in PMTCT.

241 *"The nurse almost screamed at me saying 'Why are you going to breastfeed your baby? You*242 *took nevirapine and you have a great chance of having a HIV negative baby. Are you going*243 *to give your baby your disease?' At that point I was happy that the delivery went well, but I*

244 couldn't bear the thought that I was going to infect my baby." (Mother #11) [27, p. 5].

245 *"They ask me if I was breast feeding my baby and I said yes. A sister at the clinic told me*

that they do not want breastfeeding and that it was not allowed, and she said I must stop
breastfeeding" (29yrs single mother) [30, p.5].

248	The mechanism driving infant feeding choices by mothers in these contexts is mainly fear,
249	transferred from the HCPs [15, 27]. Upon reviewing this theory in comparative settings, it is
250	seen that EBF occurs when there is a desire for motherhood and motivation to promote
251	child survival without fear of breastfeeding. Table 2 presents a revised CMO configuration,
252	better reflecting the contexts in which a desire and motivation for motherhood can lead to
253	EBF, namely when a mother prioritises being a mother and HCP counsel with the intention
254	to promote child survival.

Context	Mechanism	Outcome
Mother aware of HIV status and has received infant feeding counselling	Desire for motherhood	Mother values education by HCP
0	Motivated by prospect of	
	child survival	Motivated to maximise
Mother's highest priority is to be		child survival through
a mother figure.		appropriate feeding
	No fear of breastfeeding	method
Health care provider councels		
with the intention of promoting		Maintains FRF avoiding
child survival		alternate or mixed
		feeding.

255 Table 2: Revised CMO for motherhood/motivation to promote child survival theme

256

257 Learning and Understanding

- 258 There was strong evidence for the theorized mechanisms *learning and understanding* in the
- 259 literature. An increased likelihood of exclusive breastfeeding initiation and adherence was
- associated with the number of infant feeding counselling sessions a mother had attended
- 261 [14, 17, 30, 37]. This review theorized that in the context of frequent counselling sessions
- and a uniform message by all HCPs in regard to infant feeding, there is an increase in

263 maternal trust of the health message and learning and understanding about EBF can occur. 264 Attendance at a single counselling session was linked with poor understanding of EBF, and 265 poor recall about the risks of mixed feeding [30]. Furthermore, in contexts where one 266 counselling session had been provided, women tended to have reduced recall regarding EBF 267 [30]. A confounding factor of this context is the consistency of information, and the review found that women often received mixed messages from the counsellors and HCPs [30]. 268 269 When multiple counselling sessions were provided, many mothers received mixed messages 270 that negatively affected their understanding. This occurred, in particular, when counselling 271 was received from two or more HCPs in the PMTCT treatment cascade; this lead to confusion and potential distrust around infant feeding counselling messages [13, 28-30, 37, 272 273 39, 42].

274 "Regarding this... [formula feeding] there is confusion. In the same clinic, a sister tells you
275 that breastfeeding is best for the baby and it will protect against illnesses, as the baby no
276 longer gets infected that way if you don't mix. Another tells you that you must not give
277 breast milk, as it will make the baby positive. It's the same clinic but different sisters have
278 different stories about breastfeeding and cup feeding. (32 year-old FGD participant) [29, p.
279 95]

Delivery of mixed messages by HCPs was also seen through the provision of free infant
formula to mothers, even in regions where only a minority of mothers could ensure safe
formula feeding, and the recommended policy was to exclusively breastfeed [18, 27, 36, 37,
39].

284 "...women who received formula company-produced infant feeding materials from their 285 health providers at their first prenatal visit were more likely than those who did not receive 286 these materials to stop breastfeeding before hospital discharge and before 2 weeks

287 postpartum. Those who received the commercial materials...had notably lower rates of EBF
288 and overall duration [39, p. 8]."

- 289 "While health care workers in maternity wards encourage breastfeeding, health care 290 workers at primary care facilities are handing out free infant formula, which creates 291 confusion in mothers as a result of conflicting messages."[37, p.541]
- 292 The provision of formula is problematic, as free infant formula in clinics is often only
- 293 provided for a limited amount of time, leaving HIV-positive mothers in a position where they
- are vulnerable to mix feeding [37].

295 Conflicting messages and confusion for HCPs around which feeding mode to recommend

can be attributed to frequently changing infant feeding guidelines and poor training of HCPs.

297 The challenge of having to explain an ever-changing message and maintain the trust of

298 mothers has proved to be difficult [1, 13, 14, 16, 19, 21, 27-30, 33, 35, 37, 39].

299 *"I really hope that the new recommendation is only for discussion; not for actual practice.*

300 How can we tell these mothers? They have been told repeatedly about the risk of HIV

301 transmission through breastfeeding, and now all of a sudden breastfeeding is 'good' again."

302 (Health professional #3)[27, p. 6]

303 *"If we change the guidelines now, mothers will lose trust in us. They will think that we advise*304 *them based on what goes on in our heads without considering the consequences"*305 *(Malawian HCP) [13, p. 4]*

In addition to the mixed messages provided, the outcomes of infant feeding counselling
have also been affected by a lack of quality and modes of practical counselling for HIVpositive mothers.

In understaffed centers, as well as in larger facilities, group counselling was commonly used for infant feeding counselling. In these circumstances, the education provided was often superficial. Participants raised concerns about these environments as they felt less involved in the counselling and were less likely to voice their concerns. Individualized counselling was preferred, as it allowed for more in-depth counselling [1, 21]. An outcome of low quality counselling is mixed feeding due to a poor understanding of the definition of exclusivity in breastfeeding, as seen in the example below:

316 *"I am only* breastfeeding her. She does not like the tin [infant formula] [...] I started giving
317 her the tin when she was 1 month old [...] even when I mixed it with infant porridge, she
318 just would not eat it."(19 years old, HIV-positive mother, Rietvlei) [18, p. 454]

Another belief well represented in the literature that led to mixed feeding was the concern that breastmilk was insufficient for infant feeding [17-19, 31, 34, 37, 38, 41, 42]. Though some women can experience low milk supply, perceived insufficient breastmilk results in mixed feeding [31]. The beliefs regarding poor milk supply in some cases were reinforced by a lack of practical education by HCPs about correct infant positioning and stimulation of milk production [19, 37, 42].

325 *"Women knew little about how to stimulate milk production such as feeding on demand,* 326 *find the correct positioning and avoid pacifiers and bottles– and often found themselves* 327 *frustrated with inadequate amounts of milk production."* [19, p.220]

328 "The most common reason for cessation of EBF in mothers who had chosen to EBF was
329 insufficient breast milk (60%) A Ugandan peer education study found that most of these
330 situations were improved by correct positioning of the infant (Nankunda et al. 2006)" [42,
331 p.386]

- 332 From this we see that for a woman to exclusively breastfeed, she firstly needs to have the
- 333 capacity for EBF and in addition receive high quality practical counselling, which can equip
- mothers to troubleshoot some of the causes of low milk supply, and also increase their
- belief in their capacity to EBF [19].
- Table 3 displays a refined CMO configuration identifying the mechanisms that are likely to
- 337 lead to EBF in the context of counselling quality.

338 Table 3: Revised CMO configuration reflecting contexts and mechanisms for EBF to occur

Context	Mechanism	Outcome
Frequent counselling sessions	Correct learning and understanding about infant feeding occurs for mother	Increased health literacy regarding infant feeding
Uniform message by all HCPs (health care providers) - based on up to date, evidence based counselling appropriate to local context	Clarity around expectations, Trust of HCP	Mother is able to produce breastmilk and also believes the supply is sufficient
High quality and practical counselling appropriate to individual context	Equipped to combat inadequate milk production	EBF adherence
	Belief in their capacity to be able to exclusively breastfeed	Mother avoids mix- feeding

339

340 Stigma

- 341 The issue of stigma relating to infant feeding in the context of HIV is well documented in the
- 342 studies reviewed [14, 18, 19, 32, 33, 39], however, this mechanism also works in a manner
- 343 not considered in the initial program theory to produce different outcomes. It was expected
- 344 that there would be stigma associated with formula feeding, that as a protective
- mechanism, would lead to the uptake of EBF; this was documented in the literature [30, 40].

However; because mix feeding is so deeply engrained into many cultures [1, 8, 14, 19, 27,
30, 31, 33, 37, 41], stigma was also present towards EBF because it goes against cultural
norms [14, 33, 41]. This led to EBF being seen as an intervention specific to HIV-positive
women. Furthermore, those women who were experiencing weight loss due to exclusive
breastfeeding were exposed to increased stigma, as this physical change was misinterpreted
as an effect of AIDS.

352 *"The time I was breastfeeding I was losing a lot of weight and feeling dizzy as if I was sick*353 *and people started gossiping that I had AIDS. They said: "look at her she is now suffering*354 *from AIDS." I became very slim unlike this time since I have stopped breastfeeding that was*355 *the other reason I decided to stop breastfeeding" (mother of three, 24 years, widow) [19, p.*356 218]

This additional risk of stigma, from the effects of breastfeeding resulted in demotivation of the mothers and reduced EBF [14, 33, 41].

Infant feeding counselling for EBF, whilst a universal recommendation for all mothers, has
been so heavily targeted at HIV-positive women, that it is a likely reason why EBF is seen as
an activity done by HIV-positive women [33].

362 "When asked, "Who should exclusively breastfeed for 6 months?" some respondents stated
363 that EBF was mandatory for HIV-positive women, but optional for HIV-negative women
364 since they have no concerns about HIV transmission. A minority stated EBF was
365 recommended for all women." [33, p.255]

366 In this context, the imbalance in the delivery of health information to women based on HIV

367 status led to an increased risk of stigma, which had the potential to decrease EBF.

- 368 From the refined CMO configuration presented in table 4, we see that EBF is more likely
- 369 when EBF counselling is provided universally, regardless of HIV status, decreasing the stigma
- 370 felt when the community views EBF as recommendation for HIV positive women.

371 Table 4: Revised CMO configuration regarding the impact of stigma on EBF

Context	Mechanism	Outcome
Community with high levels of stigma regarding HIV and breastfeeding avoidance	Mother desires to avoid stigma of formula feeding	Protective for EBF
Community that views EBF as an HIV-positive activity	Felt stigma and demotivation to EBF	Decreased EBF
EBF provided universally regardless of HIV status	Reduction of stigma associated with EBF	Increased EBF adherence universally

372

373 Prioritization of counselling advice over cultural feeding norms

- 374 There have been multiple instances noted in the review process where, despite the
- provision of counselling and infant feeding support, a significant percentage of mothers still
- 376 made the decision to practice mixed feeding [9, 18]. A gap has been identified in the
- 377 theorized mechanisms, in that even when counselling had increased EBF knowledge, deeply
- engrained cultural norms led to mixed feeding [38]; highlighting that the mechanism of
- 379 learning and understanding does not fire consistently. For this reason, an additional CMO
- 380 configuration was created to explore the mechanism of prioritisation of counselling advice
- 381 over cultural feeding norms.

The mechanism of prioritisation is well represented in the literature, with some examples of the intended CMO configuration seen. One such example was in the context of women with good understanding of PMTCT feeding practices, who, when faced with AIDS stigma, were able to adhere to EBF, expressing no concern about the stigma [39]. Another example highlights how a woman was able to adhere to EBF and prioritize counselling advice received over the cultural ideas opposing EBF:

388 *"For me it was not easy, it was difficult, because at the clinic I was told that if the baby can*389 *drink some other stuff, the baby is at risk of being infected with HIV. It was not easy, but I*390 *managed to hold on the PMTCT program, but six months was too long for me because*391 *sometimes I felt that I was starving my baby because the baby didn't drink water..." (26yrs*392 *single mother). [30, p.7]*

393 The significant finding here is that even when cultural misconceptions were still present, 394 such as when the woman felt she was underfeeding her child by not providing mixed-395 feeding with water, she was still able to adhere to PMTCT recommendations and EBF [30]. In 396 other cases, prioritisation of infant feeding advice, that was opposed to cultural norms 397 proved to be more difficult. Fear and insecurity at the thought of opposing cultural norms 398 and being exposed to the potentially adverse effects of EBF were identified as barriers to 399 EBF adherence. These cultural beliefs were highly valued and had been passed down for 400 generations, and the prioritisation of them, over counselling advice led to mixed feeding [1]. 401 "Chibele (diarrhoea) was perceived to be induced by breastfeeding a baby in public where 402 other babies were assumed to be protected with chithumwa (herbs) worn around the neck 403 or waist of the baby or the mother ... if your baby hasn't got the herbs in the waist and then 404 you meet with the baby who has, then yours will be infected with chibele." (Mother, 32 405 years) [1, p.113]

Another barrier to the prioritisation of infant feeding advice was seen in the level of trust
mothers had in HCPs, particularly when counselling information was contradictory to
cultural norms [18].

409 *"I had been told at the clinic to give one kind of milk only. Giving the baby two kinds is not*

410 allowed [...] but I thought no I am not going to listen to this nonsense from the clinic. I gave

411 him food when he was still just 1 month old, I gave him porridge and I saw that he eats it.

- 412 Then I decided to give him porridge frequently and not be hesitant." (18 years old, HIV-
- 413 negative mother, Umlazi) [18, p.453]
- 414 These cultural ideas relating to the insufficiency of breastfeeding alone were often
- reinforced by the idea mixed feeding soothed babies and breastfeeding alone was the

reason infants cried so much[17, 18, 41]. Table 5 demonstrated a refined CMO theory for

- 417 the mechanism of prioritisation, highlighting that prioritisation of counselling advice for EBF
- 418 is more likely to occur when a mother has good knowledge of PMTCT implications and a
- 419 trusting relationship with HCPs who challenge the cultural misconceptions she may have.
- 420 [1].

Table 5: CMO for EBF when understanding of EBF implications competes with strong cultural norms

Context	Mechanism	Outcome
HCP challenges cultural beliefs of mother, teaching on appropriate ages for introduction of other foods	Prioritisation of counselling advice over cultural understanding and practices	Adheres to feeding advice
Mother has good knowledge and understanding of implications of EBF	No fear/insecurity about the impact of opposing	Does not mix feed

Mother has trusting relationship with HCP	cultural beliefs on child health	Belief that breastmilk is safe and sufficient for infant feeding
	Belief in capacity to EBF	

423

424 Support and Empowerment

There was strong evidence to support the role of *support and empowerment* in encouraging

426 EBF. Male partners of HIV-positive women play a highly influential role in the determination

427 of infant feeding choice, whether that be individually or jointly with the mother [9, 14, 31,

428 39]. They are also one of the greatest supports in maintaining infant feeding choice [40]. EBF

429 was found to be associated with marital status; this was certainly true of stable marital

430 relationships where there was disclosure of HIV status [9].

431 The support provided by husbands of HIV-positive women was the mechanism that led to

432 EBF adherence. Partners were found to defend the mixed feeding pressure from extended

family, many who were not be aware of infant feeding guidelines for EBF [16, 33]. This

434 support also mitigated stigma that came from EBF choices [16, 33].

435 *"I told my wife to take the porridge my mother made for the child and go to our house and*436 *drink it. She used to drink that porridge and breastfeed the child." [HIV positive male*437 *partner] [33, p.256]*

Partner support was important in defending against the pressure to mix feed that often
came from mothers-in-law and extended family [9]. This was more evident when the
mothers-in-law lived in the same house as the HIV-positive woman. Grandmothers in
particular had a lot of power when it came to make infant feeding decisions, especially in

442 contexts where women were young, inexperienced, unmarried or with no partner support.
443 Mixed feeding was often carried out without consent of the infant's mother [9, 19, 28, 33].
444 HCPs also played a supportive role in promoting adherence to EBF. Particularly in situations
445 of non-disclosure to family members, or when partner disclosure had produced negative
446 outcomes. They provided strength and support to women, reinforcing the infant decision
447 they had made [32].

448 "After she told her husband she was taking ART: 'He reacted in a violent manner and threw

449 the pills away'. The counsellor then helped the woman put 'the pills in a different place to

450 take the pills in secret'" (Johannesburg, July 2008)[36].

- 451 *"After having the baby, I came to the clinic so that they could write me a letter that supports*
- 452 that my baby must be exclusively breastfed so that when I go back to work I will be able to
- 453 express and they will give breast milk to my baby." (29yrs single mother) [30, p.7]
- 454 The mechanism by which HCP support led to EBF adherence was through empowerment to
- adhere to EBF safely, even in the face of external pressure; this is illustrated in Table 6.

456	Table 6: Updated CMO configuration for	the mechanism of support and empowerment
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Context	Mechanism	Outcome
Married, in a stable relationship with disclosure of HIV status	Support with making and adhering to feeding choices against pressure from extended family	Alleviate burden of stigma – empowered to adhere to EBF
Healthcare worker supports mother in recurring counselling sessions, particularly in context of non- disclosure	Woman empowered to be assertive about feeding choice against opposition/external pressure.	Feeding decisions are reinforced – to promote adherence

458 Discussion

459 The objective of this realist review was to evaluate key mechanisms theorised to be involved 460 in resulting in EBF adherence in HIV positive women from sub Saharan-Africa. The findings 461 of this review highlight how EBF best occurs when an HIV-positive woman has a desire for 462 motherhood, understands EBF and feels equipped to do it, is not affected by stigma, 463 prioritizes infant feeding counselling advice over cultural feeding norms, and finally, when 464 she feels supported in her infant feeding decision to EBF. Refined program theories are seen 465 in Tables 2-6. These theories have been tested to better understand for whom and in what 466 circumstances EBF adherence occurs.

467 This realist review identified the role of a desire for motherhood and motivation for child survival in EBF adherence. The influence of a mother's motivation to maximise child survival 468 469 through her PMTCT participation was well supported in the literature. This mechanism had a 470 lesser effect on EBF adherence when mothers were young and transferred the parenting 471 role to their own mothers. This highlights the need for expansion of PMTCT services, which 472 are currently targeted at mothers, to target grandmothers as well who are often a crucial 473 support in infant feeding. Furthermore, healthcare providers who counselled mothers based 474 on their own personal beliefs, discouraging EBF, often led mothers to make feeding 475 decisions based on fear of HIV transmission, instead of the promotion of child survival. EBF 476 would be better adhered to if HCPs understood the science behind EBF and counselled 477 according to the current feeding guidelines [8].

It is evident that there have been some issues in infant feeding counselling and a woman's *learning and understanding* that have played a role in reducing exclusive breastfeeding.
Frequently changing guidelines, and the provision of free infant formula have led to mixed

481 messages in counselling [13, 28-30, 37, 39, 42]. The subsequent maternal confusion and 482 distrust of counselling advice has resulted in a decrease in EBF. Furthermore, little emphasis 483 has been placed on high quality, in-depth counselling with practical tools to equip mothers 484 for EBF. The evidence shows that EBF can best occur when a mother learns and understands 485 the role of EBF through regular, in-depth and practical counselling, and where there is clarity 486 around feeding expectations and trust of HCPs. This increased clarity was seen in regions 487 that did not provide free government funded formula. In these regions, there was less 488 mixed feeding and participants were better able to maintain exclusive feeding [16, 42]. 489 From initial scoping of the literature, it was expected that stigma around formula feeding 490 would be protective for EBF, which it was. However, other mechanisms were also at play 491 due to EBF being seen as a deviation away from cultural norms of mixed feeding, and 492 consequently being identified as an activity for HIV positive women. The EBF stigma 493 associated with this was seen to affect how women engaged with PMTCT 494 recommendations. For instance, when women perceived the physical effects of 495 breastfeeding to mimic those seen in AIDS, they were less likely to adhere to EBF due to the 496 increased stigma. A uniform infant message for EBF to all women regardless of HIV status 497 could be beneficial in preventing unwanted disclosure of HIV status and reducing the stigma 498 associated with EBF. 499 During the process of testing our hypothesized mechanisms, a theory gap was found when

trying to explain the mechanism that led to EBF in the context of strongly held cultural
beliefs. Evidence was found that some women were able to EBF, even in times when
cultural beliefs were still strongly held. It was theorized that for women to EBF when faced
with cultural feeding norms of mixed feeding, they would need to be able to prioritize the

information received during feeding counselling over any cultural ideas. There were
contexts where prioritisation of EBF over cultural norms was difficult. In these cases, it was
suggested that fear and insecurity around going against cultural norms was the mechanism
inhibiting EBF prioritisation. EBF adherence could be improved if HCPs regularly challenged
the mixed feeding cultural beliefs held by women through infant feeding counselling;
however, it was noted that even HCPs had little confidence that overcoming cultural
barriers to EBF would be possible for mothers [13].

511 The final CMO configuration analysed in this review related to the role support for women 512 played in facilitating EBF. This mechanism was well documented in the literature, with both 513 males and HCPs playing key supportive roles for women. Male involvement, for women in 514 stable marriages who had disclosed their HIV status, facilitated EBF through the support 515 provided in making and adhering to feeding choices, in the face of feeding pressure from 516 extended family. HCPs provided support by empowering women to be assertive about their 517 feeding choices, particularly in cases of non-disclosure to other family members. It was seen 518 that infant's grandmothers, who were often strong proponents of mixed feeding, had a lot 519 of power when it came to making infant feeding decisions, especially when women were 520 considered inexperienced in motherhood or unmarried with no partner support. These 521 situations highlight the supportive role that extended family could play in encouraging EBF 522 adherence, as strong influencers of feeding habits. This re-iterates the role for increased 523 family involvement in PMTCT, targeting not only mothers but also fathers and grandmothers 524 [16].

525 Strengths and limitations:

526 The strengths of this review of infant feeding counselling for EBF lie in the chosen review 527 methodology. Taking a realist approach meant this review considered that interventions 528 work in different ways to produce different outcomes in different contexts [23]. This 529 allowed for a more in-depth analysis of various successes and failures of the interventions. 530 There are however limitations to this review, some of which are inherent to the realist 531 approach and others which due to the research topic itself. This review did not analyse all 532 mechanisms potentially resulting in EBF; only those key mechanisms that were thought to 533 be affecting a woman's adherence EBF were included [23]. It is important to note that 534 mechanisms are all interconnected, with multiple mechanisms operating in contexts. As 535 such CMO configurations do not act independently and two mechanisms can work 536 concurrently to produce an outcome [43]. 537 There were occasions when the methodology of the review, similarly to Rycroft-Malone et 538 al. (24) and Saul et al.'s (25) methods, deviated from the original realist methodology as 539 described by Pawson (23), taking on a more systematic approach in rigor assessment and 540 searching the literature. Ideally, a realist method would 'feed on fresh evidence' as it 541 unfolded, conducting additional searches looking for new information during the theory 542 testing phase [23]. This was not done due to the time constraints of the review. 543 An important limitation to the review was that it focussed on women in sub-Saharan Africa 544 engaged with PMTCT services and received infant feeding counselling. The reality is that 545 many women do not have access to these interventions. UNAIDS report that less than half 546 of the women in high-burden countries even receive ART [44]. Further research on this topic

547 could look into mechanisms that result in a woman attending and engaging with PMTCT548 services.

This review has identified the basis for future research studies that use an intervention approach to encourage mothers to exclusively breastfeed their infant. Another study of value would be to follow these infants prospectively to evaluate how many become and remain HIV positive and develop disease over time. Such research would take immense commitment and support but would be of great value to understanding the role of breastfeeding in HIV prevention and management.

555 Conclusions

556 The aim of this review was to create a model showing how and in what contexts infant 557 feeding counselling best worked to fire mechanisms in HIV positive women to result in EBF. 558 It was found that EBF occurred when a woman desired or had motivation for motherhood, 559 correct learning and understanding about infant feeding practices obtained through good 560 quality and practical counselling, the resolve to prioritize EBF advice over cultural beliefs and 561 stigma, no fear of breastfeeding or the impact of opposing feeding related cultural beliefs, 562 and the support from partners and HCPs to be assertive about the feeding choices when 563 faced with pressure to mix-feed. The primary audience for this review are researchers and 564 health care workers in PMTCT in low and middle-income countries, particularly sub-Saharan 565 Africa, who may benefit from the work that has been done to identify contexts for the 566 success and failures of EBF.

- 568 World Health Organization (WHO), Human Immunodeficiency Virus (HIV), Prevention of
- 569 mother to child transmission (PMTCT), context-mechanism-outcome (CMO), Health care
- 570 provider (HCP), United Nations Program on HIV/AIDS (UNAIDS), mother to child
- 571 transmission (MTCT), antiretroviral therapy (ART), randomised controlled trials (RCTs),
- 572 Acquired immune deficiency syndrome (AIDS), Exclusive breastfeeding (EBF).

573 Additional Files

- 574 APPENDIX 1:
- 575 Title: Search Outcomes Conducted September 2016
- 576 Description: literature review search outcomes for EPUB ahead of print, in-process & non-
- 577 indexed citations, OVID MEDLINE(R) daily and OVID MEDLINE(R) 1946 to present; Google
- 578 Advanced search, Scopus, Applied Social Sciences Index and Abstracts (ASSIA), PAIS Index
- 579 (ProQuest), POPLINE, and Health Evidence

580 APPENDIX 2:

- 581 Title: Characteristics of citations included in review
- 582 Description: summary table of all 27 items included in the review.

583 Declarations

- 584 Ethics approval and consent to participate Not Applicable
- 585 Consent for publication Not Applicable
- 586 Availability of data and material Data sharing is not applicable to this article as no datasets
- 587 were generated or analysed during the current study.
- 588 Competing interests The authors declare that they have no competing interests

- 589 Funding- Flinders University of South Australia. The funding body played no role in the
- 590 design or conduct of the study.

591 Authors' contributions

- All authors (SN, LS, JC & PW) were responsible for the initial research design, SN performed
- the literature review for the research project, SN performed the data collection, SN
- 594 contributed to the data analysis, SN, LS wrote the first draft of the paper, all authors (SN, LS,
- 595 JC & PW) read and approved the final draft before submission.

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599 Reference List

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