## Leg Blood Flow and Skeletal Muscle Microvascular Perfusion Responses to Submaximal Exercise in Peripheral Arterial Disease

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4 **Running Title:** Skeletal Muscle Microvascular Perfusion in PAD

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#### 27 ABSTRACT

Peripheral arterial disease (PAD) is characterized by stenosis and occlusion of the lower limb 28 29 arteries. While leg blood flow is limited in PAD, it remains unclear whether skeletal muscle microvascular perfusion is affected. We compared whole-leg blood flow and calf muscle 30 microvascular perfusion following cuff occlusion and submaximal leg exercise between PAD 31 patients (n=12,  $69\pm9$  years) and healthy age-matched control participants (n=12,  $68\pm7$  years). 32 33 Microvascular blood flow (microvascular volume x flow velocity) of the medial gastrocnemius muscle was measured before and immediately after: 1) 5 min of thigh-cuff 34 35 occlusion; and 2) a 5-min bout of intermittent isometric plantar-flexion exercise (400N) using real-time contrast-enhanced ultrasound (CEU). Whole-leg blood flow was measured after 36 thigh-cuff occlusion and during submaximal plantar-flexion exercise using strain-gauge 37 plethysmography. Post-occlusion whole-leg blood flow and calf muscle microvascular 38 perfusion were lower in PAD patients than controls, and these parameters were strongly 39 correlated (r=0.84; p<0.01). During submaximal exercise, total whole-leg blood flow and 40 vascular conductance were not different between groups. There were also no group 41 differences in post-exercise calf muscle microvascular perfusion, although microvascular 42 blood volume was higher in PAD patients than control (12.41±6.98 vs 6.34±4.98 aU; p=0.03). 43 This study demonstrates that the impaired muscle perfusion of PAD patients during post-44 occlusion hyperemia is strongly correlated with disease severity, and is likely mainly 45 46 determined by the limited conduit artery flow. In response to submaximal leg exercise, microvascular flow volume was elevated in PAD patients, which may reflect a compensatory 47 mechanism to maintain muscle perfusion and oxygen delivery during recovery from exercise. 48 49

50 KEYWORDS: Peripheral arterial disease, skeletal muscle, microcirculation, reactive
51 hyperemia, exercise, ultrasound.

#### 53 NEW AND NOTEWORTHY

This study suggests that PAD has different effects on the microvascular perfusion responses to cuff occlusion and submaximal leg exercise. PAD patients have impaired microvascular perfusion following cuff occlusion, similar to that previously reported following maximal exercise. In response to submaximal exercise, however, the microvascular flow volume response was elevated in PAD patients compared with control. This finding may reflect a compensatory mechanism to maintain perfusion and oxygen delivery during recovery from exercise.

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#### 62 INTRODUCTION

Peripheral arterial disease (PAD) is an atherosclerotic disease characterized by 63 stenosis or occlusion of the conduit arteries of the lower limbs. PAD is associated with severe 64 exercise intolerance (2), which cannot be fully explained by hemodynamic impairments. 65 Patients with PAD have impaired endothelial function (4), and alterations in their skeletal 66 muscle phenotype that likely contribute to their exercise intolerance (2, 25). There have been 67 some reports that skeletal muscle capillarization is reduced in PAD patients (2, 25, 26), and 68 positively correlated to exercise capacity in these patients (2, 26). There are, however, some 69 contrasting reports that muscle capillarization is similar (10), or even greater in PAD patients 70 71 (20) than controls. It is therefore unclear what effect PAD has on microvascular structure and function, and in particular what role microvascular dysfunction plays in the exercise 72 intolerance of PAD patients. 73

Contrast-enhanced ultrasound (CEU) is widely used for the assessment of microvascular blood flow (perfusion) in various human tissue beds (29), and a limited number of studies have used this technique to assess leg muscle perfusion in PAD patients (1,

6, 7, 16, 18, 30). These studies have shown that PAD is associated with a reduction in peak 77 muscle perfusion capacity in response to ischemic provocation tests, such as thigh-cuff 78 79 occlusion (1), limiting (maximal pain) treadmill walking exercise (16), and relatively highintensity (20 W) plantar-flexion exercise (6). These studies of peak perfusion responses 80 demonstrate the strong diagnostic potential of CEU and the ability to discriminate between 81 people with and without PAD. However, as CEU provides a measure of end-organ (i.e. 82 83 muscle) perfusion, it is not clear to what extent the limited perfusion responses in PAD patients can be attributed to a microvascular impairment or the impaired upstream conduit 84 85 artery flow due to stenosis or occlusion.

In order to distinguish the microvascular from the conduit artery contribution, it is 86 important to consider whole-leg blood flow when interpreting microvascular perfusion 87 responses, which has not been considered in previous studies of PAD patients (1, 6, 7, 16, 18, 88 30). Whole-limb (33) and muscle microvascular blood flow (6) are generally not limited in 89 PAD patients with intermittent claudication under resting conditions, and it is not until 90 exercise is performed that a limit in blood flow is revealed. For a given patient, the point at 91 which this limit is reached depends on the intensity of exercise, and patients usually self-92 select low, submaximal intensities to carry out activities of daily living (9). It is likely that the 93 limit in peak muscle perfusion reported in previous studies was due to the relatively high 94 exercise intensities used, at which point conduit artery blood flow limits are achieved. To 95 96 better understand the microvascular perfusion limit as it applies to typical activities of daily living, and to better distinguish the microvascular blood flow limit associated with PAD, 97 there is a need for further studies. Specifically, an investigation of the microvascular 98 99 perfusion in conjunction with whole-limb blood flow, in response to submaximal exercise conditions that can be sustained within the symptomatic and hemodynamic limits of patients. 100

101 Therefore, the primary aim of this study was to compare calf muscle microvascular 102 perfusion following cuff occlusion and submaximal leg exercise between PAD patients and 103 control participants using continuous real-time CEU imaging, and also to assess whole-limb 104 blood flow and its relationship with the muscle perfusion responses.

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#### 106 METHODS

#### 107 **Participant recruitment**

Twelve patients with PAD and symptoms of intermittent claudication and 12 healthy 108 109 control participants were recruited to take part in this study. Participants that were current smokers, had uncontrolled hypertension, unstable angina, congestive heart failure or critical 110 limb ischemia were excluded. PAD patients unable to complete the 5-min leg exercise test 111 due to intolerable calf muscle pain were also excluded. All participants gave written informed 112 consent to participate in the study, which was approved by the Prince Charles Hospital 113 (HREC/14/QPCH/122) and University of the Sunshine Coast (A/15/706) Human Research 114 Ethics Committees. All procedures were conducted in accordance with the Declaration of 115 Helsinki. 116

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#### 118 Study overview

Participants initially underwent screening and baseline measures, and completed a seven-day physical activity recall (3). Resting ankle-brachial index (ABI) of each leg was measured, and estimated calf muscle mass was determined using established anthropometric measures (5). Participants were familiarized with the plantar-flexion exercise protocol and performed a maximum force test. Participants attended an experimental session (session 1) for the assessment of whole-leg blood flow at rest and following thigh-cuff occlusion using strain-gauge plethysmography. Then, contraction-by-contraction whole-leg blood flow was

recorded during a 5-min bout of intermittent isometric plantar-flexion exercise. Exercise was 126 submaximal and conducted at the same absolute (400 N) and relative (60±18% maximum 127 128 force) intensity in both groups. Participants attended two further experimental sessions, on separate days, where muscle microvascular perfusion of the medial gastrocnemius was 129 assessed using CEU before and after 5-min of thigh-cuff occlusion (session 2), and before 130 and after the same 5-min plantar-flexion exercise protocol (session 3). Within each session, 131 132 test procedures were conducted twice, separated by 15 min, and average responses were used for analysis. The non-dominant leg of the control participants, and the leg with the lowest 133 134 ABI of PAD patients was assessed. Sessions were conducted at the same time of day, ~72 h apart, and participants were instructed to avoid exercise and caffeine or alcohol consumption 135 for 24 h before each session. 136

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#### 138 Plantar-flexion exercise

Participants performed isometric plantar-flexion contractions in the seated position 139 with their foot on an immovable footplate. A calibrated load cell (Xtran S1W, Applied 140 Measurement, Melbourne, Australia) was positioned over the distal thigh, and contraction 141 force was displayed on a monitor. After familiarization, participants performed five 142 maximum voluntary contractions (MVC), each separated by 60 s rest, and the average was 143 used as the maximum force. The 5-min bouts of plantar-flexion exercise were performed at a 144 145 fixed contraction intensity of 400 N (contraction:relaxation duty cycle: 2:3 s). After each exercise bout participants were instructed to rate their exercise-associated calf muscle pain as 146 "no pain", "mild pain", "moderate pain" or "maximal pain". 147

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#### 149 Whole-leg blood flow

Whole-leg blood flow was assessed using strain-gauge plethysmography (EC6 Plethysmograph, Hokanson, Bellevue, USA). A rapid inflation cuff (Hokanson, Bellevue, USA) was placed around the upper thigh, and a mercury-in-silastic strain gauge was placed around the calf at the largest circumference. Measurements were performed during supine rest and following five min of thigh-cuff occlusion at a pressure of 200 mmHg, as previously described (14).

Contraction-by-contraction leg blood flow was measured throughout exercise as 156 previously described (23). Blood flow was assessed following each contraction as the change 157 158 in limb volume over the first cardiac cycle, free of movement artefact, from the onset of the 3-s relaxation phase. Single lead electrocardiogram, heart rate (ADInstruments, Sydney, 159 Australia) and beat-by-beat finger blood pressure (Finapres Medical Systems, the 160 Netherlands) were continuously monitored during exercise. Leg vascular conductance was 161 calculated at rest and during exercise as leg blood flow divided by mean arterial pressure. 162 Contraction-by-contraction whole-leg blood flow and vascular conductance were averaged 163 across the repeated within-session trials and fitted to a biphasic exponential response curve 164 (Table Curve 2D v4, SPSS, Illinois, USA) to determine the total rise in whole-leg blood flow 165 during exercise (23). The goodness of fits for the whole-leg blood flow (n=24) and vascular 166 conductance data (n=24), based on the adjusted  $R^2$ , were 0.77±0.12 and 0.73±0.15, 167 respectively. 168

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#### 170 Calf muscle microvascular perfusion

171 Calf muscle microvascular perfusion was measured using CEU. Images were obtained 172 from the medial gastrocnemius muscle at the point of the greatest leg circumference, with the 173 ultrasound transducer secured to the leg using a foam holder. Continuous harmonic power-174 Doppler imaging (Philips Diagnostic Ultrasound Systems model iE33, Philips Medical

Systems, Andover, USA) was performed with a linear-array transducer (Philips L9-3), using 175 a low mechanical index (0.10), 87% gain and 5 cm depth. Contrast solution consisting of 1.5 176 mL of lipid shelled octafluoropropane microbubbles (Definity, Bristol-Myers Squibb Medical 177 Imaging, New York, USA) mixed to 50mL with saline was infused intravenously (antecubital 178 fossa) at a constant rate of 200 mL/hour, using a syringe pump (Alaris PK, Auckland, New 179 Zealand) that was continuously mechanically rocked to prevent agent sedimentation. Each 180 181 CEU measurement required a total of three min of contrast microbubble infusion, with the first two min of infusion required to achieve a steady-state concentration of microbubbles. 182 183 This was followed by a pulse of high-energy ultrasound (mechanical index = 1.07) for microbubble destruction, and at least 50 s (range 50-60 s) of image acquisition to assess the 184 kinetics of microbubble replenishment. Examples of original CEU images are presented in 185 Figure 1. 186

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#### 188 Microvascular image analysis

QLAB software (Philips Healthcare, Bothell, USA) was used to generate time-189 intensity curves for analysis of replenishment kinetics. An examiner (A.L.M.) manually 190 selected a representative quadrilateral region of interest (839±163 mm<sup>2</sup>) encompassing the 191 medial gastrocnemius muscle that was automatically transposed for repeated measurements. 192 Time-intensity data were exported for background subtraction (Excel 15.0, Microsoft 193 194 Corporation), where the background intensity was set at 0.98 s (resting data) or 0.49 s (cuff occlusion and exercise data) from the moment of bubble-destruction to exclude the 195 contributions of the faster-filling, larger non-capillary vessels from the background signal 196 197 intensity (27). Using a 3-s moving average, we identified the time-to-peak acoustic intensity as the duration from the onset of change in microbubble acoustic intensity after microbubble 198

destruction until its peak. The area under the curve was calculated as the total accumulatedchange in acoustic intensity during the first 50 s following cuff occlusion or exercise.

Averaged time-intensity data from repeat trials were fitted to an exponential function 201  $y=A[1-exp^{-\beta t}]$  for the analysis of the time-intensity curves, where: y is the video intensity at 202 time t (in seconds); A is the peak video intensity, which estimates microvascular blood 203 volume; and  $\beta$  is the rate of appearance of the microbubbles (i.e. the rate constant), which 204 estimates microvascular flow velocity (35). Skeletal muscle microvascular blood flow 205 (perfusion) was calculated as the product of blood volume multiplied by velocity  $(A^*\beta)$ . 206 207 Curve fitting was performed using Sigmaplot software version 13.0 (Systat Software, San Jose, USA). The adjusted  $R^2$  for the CEU microvascular blood flow (n=24) data was 208 0.92±0.10. The within-session intra-class correlation coefficient indicated an excellent 209 reliability of measurements of muscle microvascular perfusion (n=24) obtained with CEU 210 under resting conditions (0.91 [95% CI 0.83-0.96]) and in response to cuff occlusion (0.96 211 [95% CI 0.91-0.99]) and exercise (0.95 [95% CI 0.88-0.98]) (8). 212

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#### 214 Statistical analysis

Skeletal muscle microvascular perfusion was the primary outcome of interest and therefore a priori sample size calculations were performed based on the mean of previously reported differences between PAD patients and controls for reactive hyperemia and exercise  $(10\pm4 \text{ and } 10\pm9 \text{ aU.s}^{-1}, \text{ respectively})$  (18, 31). Assuming a power of 80% and an alpha level of 0.05, a minimum sample size of 6-11 participants in each group was required to detect these differences.

The Gaussian distribution and homogeneity of variance of the data were confirmed using Shapiro Wilk and Levene tests. Baseline comparisons were performed using independent sample t-tests and chi-square tests for continuous and categorical variables, respectively. Whole-leg blood flow and microvascular perfusion parameters were analyzed using a 2-way (group x time) mixed ANOVA, followed by Tukey's post-hoc test when there were significant main effects or interactions. Correlations were assessed using Pearson's correlation coefficient. P<0.05 was considered statistically significant. Statistical analyses were performed using SPSS version 22.0 for Windows and power calculations were performed using GPower version 3.1. Data are presented as mean and standard deviation (SD) unless stated otherwise.

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#### 232 **RESULTS**

#### 233 Participant characteristics

Participant characteristics are shown in Table 1. Five PAD patients (42%) had arterial stenoses or occlusions in multiple artery segments, while the remaining patients had significant unilateral or bilateral stenoses or occlusions in the aorto-iliac (n=2; 17%), femoral (n=4; 33%) or popliteal (n=1; 8%) segments. Self-reported habitual physical activity levels tended to be lower in PAD patients but were not significantly different between groups (PAD:  $1.6\pm1.6$ ; Control:  $2.8\pm2.2$  h/week; p=0.16).

240 \*\*\*INSERT TABLE 1\*\*\*

241

#### 242 Plantar-flexion force

Plantar-flexion MVC force was not significantly different between groups, meaning that the relative intensity of the target force during the bouts of plantar-flexion exercise was not different between groups (Table 1). The results remained unchanged after correcting MVC force for estimated calf muscle mass. The 5-min submaximal exercise bouts were well tolerated by all control participants, and 11 of the 12 PAD patients (92%) reported moderate to maximal calf muscle pain towards the end of the tests.

#### 250 Post-occlusion whole-leg blood flow and vascular conductance

Baseline (supine rest) whole-leg blood flow and vascular conductance were not significantly different between groups (Table 2). Post-occlusion whole-leg blood flow and vascular conductance were significantly lower in PAD patients compared with controls (Table 2).

255 \*\*\*INSERT TABLE 2\*\*\*

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#### 257 Post-occlusion calf muscle microvascular perfusion

Mean CEU time-intensity curves following cuff occlusion in PAD patients and controls are shown in Figure 2. Time-to-peak acoustic intensity was longer in PAD patients ( $41.15\pm12.37$  s, p<0.01) compared with controls ( $17.79\pm3.98$  s). Area under the response curve tended to be lower in the PAD group compared to controls, but the difference was not statistically significant (PAD:  $413\pm343$ ; Control:  $659\pm452$  aU.s; p=0.08).

Calf muscle microvascular perfusion parameters, at baseline and following cuff 263 occlusion, are shown in Figure 3. Baseline (pre-occlusion) muscle microvascular blood 264 volume, flow velocity and perfusion were not significantly different between groups (p>0.05). 265 In comparison with baseline, post-occlusion microvascular blood volume increased (p<0.01; 266 Figure 3A) in both groups, and despite the slower rise (Figure 2), peak volume in the PAD 267 268 group was not significantly different to controls (p=0.14, Figure 3A). Post-occlusion microvascular flow velocity increased in controls (p<0.01; Figure 3B), but did not change 269 significantly in PAD patients (p=0.29), resulting in a lower microvascular perfusion response 270 271 in PAD patients than in controls (p<0.01; Figure 3C).

272 \*\*\*INSERT FIGURE 2\*\*\*

#### 273 \*\*\*INSERT FIGURE 3\*\*\*

#### 275 Exercise whole-leg blood flow and vascular conductance

Baseline (seated rest) whole-leg blood flow was not different between groups (p=0.43; Table 2). Total whole-leg blood flow during submaximal exercise was not significantly different between PAD patients and controls (p=0.10; Table 2). Results remained unchanged when differences in blood pressure between groups were accounted for by expressing the blood flow responses as leg vascular conductance (p=0.75; Table 2).

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#### 282 Post-exercise calf muscle microvascular perfusion

The mean CEU time-intensity curves for the period immediately following submaximal plantar-flexion exercise in PAD patients and controls are shown in Figure 4. Time-to-peak acoustic intensity was not different between groups (PAD:  $39.67\pm16.18$ ; Control:  $30.35\pm14.46$  s; p=0.13). The area under the curve was greater in PAD patients ( $576\pm343$  aU.s; p=0.01) than controls ( $264\pm224$  aU.s).

Calf muscle microvascular perfusion parameters, before and after submaximal 288 exercise, are shown in Figure 5. Baseline (pre-exercise) microvascular parameters were not 289 different between groups (p>0.05). Microvascular blood volume increased (p<0.01) with 290 exercise in both groups, and was significantly higher in the post-exercise period in PAD 291 patients than controls (p=0.03; Figure 5A). Microvascular flow velocity increased (p<0.01) 292 293 with exercise in both groups, with no significant difference between PAD patients and controls (p=0.91; Figure 5B). Microvascular perfusion also increased (p<0.01) with exercise 294 in both groups, with no significant difference between PAD patients and controls (p=0.35; 295 296 Figure 5C).

297 \*\*\*INSERT FIGURE 4\*\*\*

#### 298 \*\*\*INSERT FIGURE 5\*\*\*

#### 300 Relationships between variables

ABI was significantly correlated with muscle microvascular blood volume (n=24; 301 r=0.41; p=0.04), flow velocity (n=24; r=0.80; p<0.01) and perfusion (n=24; r=0.67; p<0.01). 302 Post-occlusion whole-leg blood flow was significantly correlated with muscle microvascular 303 blood volume (n=24; r=0.58; p<0.01), flow velocity (n=24; r=0.76; p<0.01) and perfusion 304 305 (n=24; r=0.84; p<0.01). All correlations remained significant when whole-leg blood flow was expressed as leg vascular conductance (p<0.05). However, these correlations were not 306 307 significant when considering PAD and control groups separately. There were no significant correlations between post-exercise CEU microvascular parameters and ABI or whole-leg 308 blood flow. 309

310

#### 311 **DISCUSSION**

Previous studies that have reported reductions in muscle microvascular perfusion 312 capacity in PAD patients in response to cuff occlusion and exercise have not reported whole-313 leg or conduit artery blood flow. Consequently it was not known whether the microvascular 314 perfusion limitation merely reflected the limited (stenotic) arterial flow capacity. Moreover, it 315 was not known whether muscle microvascular perfusion was limited in PAD patients during 316 submaximal exercise, at workloads that reflect activities of daily living and that are able to be 317 318 sustained within the symptomatic and hemodynamic limits of the patient. This study demonstrated that: (i) post-occlusion whole-leg blood flow and calf muscle microvascular 319 perfusion were lower in PAD patients compared with controls, and these parameters were 320 321 strongly correlated; and (ii) in response to submaximal plantar-flexion exercise, PAD patients exhibited similar whole-leg and post-exercise muscle microvascular perfusion responses 322

323 compared with controls, but muscle microvascular perfusion was achieved through a greater324 increase in microvascular volume in PAD patients.

325 We found that calf muscle microvascular perfusion following 5-min of cuff occlusion was impaired in PAD patients. Post-occlusion time-to-peak acoustic intensity was longer in 326 PAD patients than controls, which verifies the findings of a previous CEU study by 327 Amarteifio et al. (1), and is consistent with the perfusion limit reported in studies of PAD 328 329 patients using magnetic resonance imaging (19, 31). In healthy individuals, post-occlusion reactive hyperemia is predominantly determined by vascular resistance at the level of the 330 331 microvessels (28), whereas in PAD patients the conduit artery resistance at the site of the arterial stenosis also likely contributes to the hyperemic response. In the present study, the 332 impairment in post-occlusion hyperemia in PAD patients was evident throughout the vascular 333 tree, with lower whole-leg blood flow and calf muscle microvascular perfusion compared 334 with controls. The lower post-occlusion microvascular perfusion in PAD patients was mainly 335 attributable to a lower microvascular flow velocity (parameter  $\beta$ ) compared with controls. 336 Therefore, microvascular flow velocity might be determined by limb blood flow or perfusion 337 pressure, and this is supported by the strong correlation between microvascular flow velocity 338 and whole-leg blood flow. While previous findings of an impaired post-occlusion CEU 339 response in PAD patients have been attributed to a microvascular impairment (1), our 340 findings suggest that the low post-occlusion microvascular flow velocity may largely reflect 341 342 disease severity and the impaired whole-limb blood flow response. This is further supported by the strong relationship between ABI and the post-occlusion microvascular velocity and 343 whole-leg blood flow parameters observed in the current study. 344

During tightly matched submaximal plantar-flexion exercise at the same absolute (400N = -8W) and relative ( $60\pm18\%$  maximum force) intensity, steady-state whole-leg blood flow did not differ between PAD patients and controls. Patients were able to sustain this

exercise for 5 min, indicating that the intensity was within their hemodynamic and 348 symptomatic limits, and at a level that is typical of their daily activities (9). In response to 349 350 this level of exercise we found that post-exercise calf muscle microvascular perfusion is preserved in PAD patients and did not differ compared with healthy control participants. 351 From this we assume that the observed microvascular responses are largely independent of 352 whole-limb blood flow. In healthy individuals it has previously been shown that exercise-353 354 induced microvascular hyperemia is accompanied by changes in total limb blood flow at higher (80% max) but not lower (25% max) exercise intensities (32). It was therefore 355 356 suggested that perfusion at lower exercise intensities is regulated through a localized redistribution of blood flow, possibly at the level of the terminal arterioles, and with more 357 intense exercise there are also changes in the upstream resistance of the arteriolar network 358 with consequent changes in total limb blood flow (32). Whether maximal exercise-induced 359 muscle ischemia in PAD patients might disrupt this relationship cannot be determined in this 360 study as the exercise test was submaximal and within the limits of patients; however, it is 361 notable that there was no significant relationship between exercise whole-leg blood flow and 362 post-exercise muscle microvascular perfusion in PAD patients and controls. 363

While muscle perfusion responses to submaximal exercise did not differ between 364 groups, an important finding was that the increase in perfusion relied on a larger increase in 365 microvascular volume (parameter A) in PAD patients compared with controls. The increased 366 367 microvascular volume, characterized by an increase in CEU microbubble density, may reflect a reduction in microvascular resistance and a redistribution of blood flow within the working 368 skeletal muscle. It has also been suggested that an increase in microvascular volume may 369 370 reflect *de-novo* recruitment of previously non-flowing capillaries (21), although this is debatable (24). In PAD patients, the increased microvascular volume may serve to enhance 371 capillary flow and the endothelial surface area available to support the delivery of oxygen and 372

nutrients to myocytes (24), and therefore compensate for microvascular flow velocity, which tended to be lower in PAD patients compared to controls. Similar compensatory responses have been reported in ischemic heart studies using CEU, where myocardial microvascular perfusion is maintained with increasing coronary artery stenosis severity via increases in microvascular blood volume (17).

Recent studies by Kundi et al. 2017 (16) and Davidson et al. 2017 (6) have 378 379 demonstrated the clinical utility of CEU to differentiate between individuals with and without PAD on the basis of peak exercise muscle perfusion. Their findings of a *lower* peak muscle 380 381 perfusion response, compared with controls, to (maximal) limiting treadmill walking (16) and a short bout (2 min) of high-intensity (~20 W) plantar-flexion exercise (6), provide support 382 for the use of peak exercise CEU responses in the diagnosis of PAD. The exercise intensity 383 used in those studies was not well matched between groups (16) and was notably higher than 384 the submaximal intensity used in the present study (400 N, ~8 W). At these higher intensities, 385 patients were likely working at a level above their conduit artery blood flow limit, which is 386 reflected in the lower (end-organ) muscle perfusion relative to controls. This cannot be 387 directly verified as those studies did not include measures of whole-limb or conduit artery 388 blood flow, as was done in the present study. However, in a subgroup of PAD patients in the 389 study by Davidson et al. 2017 (6) who underwent surgical revascularization to restore conduit 390 artery blood flow, there was a marked increase in the muscle perfusion response to exercise. 391 392 This suggests that at this relatively high exercise intensity (20 W), the muscle perfusion response is largely determined by the conduit artery flow limit in PAD patients, and this 393 response would be expected to be exacerbated in patients with more severe disease (e.g. ABI 394 395 < 0.6), such as those in the study by Davidson et al. (6).

We used real-time CEU imaging in conjunction with a continuous infusion of the microbubble contrast agent, which has not been done in previous studies of PAD patients.

This method enables the assessment of rapid changes in muscle microvascular flow, such as 398 during the period immediately following exercise. The incremental imaging approach used by 399 400 Davidson et al. 2017 (6) and the bolus infusion approach used by Kundi et al. 2017 (16) are limited to use under steady-state conditions, and are therefore not ideally suited to studies of 401 short periods of exercise (2 min) (6) and exercise recovery (16). Our study groups were well 402 matched for age, whereas the mean age of the control groups in previous studies were 15-23 403 404 years younger than the PAD groups (6, 16). In addition, there was a high proportion (89%) of diabetic patients in the PAD group of the study by Davidson et al. 2017 (6). Given the known 405 406 influence of age (13, 15) and diabetes (27) on muscle microvascular structure and function, these factors are important to control for in studies of PAD. Patients with uncontrolled 407 hypertension were not considered for the present study because of the known association 408 between high blood pressure and capillary rarefaction (11). Blood pressure tended to be lower 409 (not significant) in PAD patients at rest and during exercise, which we attribute to the greater 410 use of anti-hypertensive medications in that group. 411

Using real-time CEU, we have shown that PAD has a significant effect on the muscle 412 microvascular perfusion responses to cuff-induced ischemia and submaximal exercise. 413 Further research is needed to understand the mechanisms responsible for these responses, and 414 specifically to determine to what extent the microvascular perfusion alterations in PAD are 415 associated with changes in capillary density and structure. Future studies should test the 416 417 effect of exercise intensity (e.g. maximal vs submaximal intensities) to better understand the influence on conduit artery flow and microvascular responses, and determine whether CEU 418 derived measures of microvascular volume reflect muscle capillary supply, as has been 419 420 proposed (34). There is also an opportunity for future research to focus on microvascular function as a treatment target in PAD patients. Adding exercise as an adjunct therapy 421 following lower-limb surgical or endovascular revascularization for PAD promotes additional 422

improvements in symptoms and exercise capacity (22). Computational modelling of the PAD
vasculature suggest that these gains are partially dependent on improvements in
microvascular function (12), however this has yet to be confirmed.

Limitations of this study include the relatively small study sample, which might have 426 contributed to the failure to detect significant differences in some secondary outcomes, such 427 as AUC of the image intensity response. Nevertheless, this study was adequately powered to 428 429 detect differences in our primary outcome of muscle microvascular perfusion. The study sample did not allow for subgroup analysis of variables that could possibly affect calf muscle 430 431 microvascular perfusion, such as cardiovascular risk factors, medication use and site of stenosis. Additionally, it was not possible to make simultaneous measurements of whole-leg 432 blood flow and calf muscle microvascular perfusion, which would provide a better indication 433 of the role of whole-leg blood flow in determining microvascular perfusion responses to 434 exercise. 435

This study suggests that the impaired muscle perfusion of PAD patients during post-436 occlusion hyperemia is strongly associated with disease severity, and is likely determined by 437 the limited conduit artery flow. In response to submaximal leg exercise, muscle 438 microvascular flow volume was elevated in PAD patients, which may reflect a compensatory 439 mechanism to maintain perfusion and oxygen delivery during recovery from exercise. This 440 study has highlighted novel alterations in microvascular flow that are likely to be of 441 442 functional relevance in PAD patients and contribute to their capacity to undertake activities of daily living. Further research is required to understand the mechanism responsible for 443 these findings and the impact of treatments, such as lower limb revascularization and exercise 444 445 therapy, on muscle microvascular perfusion.

446

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451

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458

#### 459 **DISCLOSURES**

460 No conflicts of interest, financial or otherwise, are declared by the authors.

461

#### 462 AUTHOR CONTRIBUTIONS

Conception and design of study (A.L.M, K.G, C.D.A); collection, analysis and interpretation of data (A.L.M., M.C.Y.N., T.B., R.M., J.G., Y.H., M.K., K.G., C.D.A.); initial draft of the manuscript (A.L.M, C.D.A); critical revision of the manuscript for important intellectual content (A.L.M., M.C.Y.N., T.B., R.M., J.G., Y.H., M.K., K.G., C.D.A.). All authors approved the final version of the manuscript.

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### 575 FIGURE LEGENDS

| 577 | Figure 1. Representative presentation of original CEU images from PAD and control               |
|-----|---|
| 578 | participants at baseline and during peak microvascular perfusion following cuff occlusion and   |
| 579 | submaximal plantar-flexion exercise. Post-occlusion peak acoustic intensity occurs earlier in   |
| 580 | the control compared to PAD participant. Post-exercise peak acoustic intensity occurs at a      |
| 581 | similar time in PAD and control participants, but seems to be enhanced in the patient with      |
| 582 | PAD despite similar submaximal workload performed by both participants.                         |
| 583 |   |
| 584 | Figure 2. Post-occlusion CEU time-intensity curves in PAD patients (n=12; open circles) and     |
| 585 | controls (n=12; shaded circles). Data points represent group mean and error bars are SEM.       |
| 586 |   |
| 587 | Figure 3. Calf muscle microvascular blood volume (panel A), flow velocity (panel B) and         |
| 588 | perfusion (panel C) at baseline and following cuff occlusion in PAD patients (n=12) and         |
| 589 | controls (n=12). Values are mean and SD. Dotted lines indicate individual participant           |
| 590 | responses. *Significantly different from baseline (p<0.05); †Significantly different to control |
| 591 | participants (p<0.05).  |
| 592 |   |
| 593 | Figure 4. Post-exercise CEU time-intensity curves in PAD patients (n=12; open circles) and      |
| 594 | controls (n=12; shaded circles). Data points represent group mean and error bars are SEM.       |
| 595 |   |
| 596 | Figure 5. Calf muscle microvascular blood volume (panel A), flow velocity (panel B) and         |
| 597 | perfusion (panel C) before and following plantar-flexion exercise in PAD patients (n=12) and    |
| 598 | controls (n=12). Values are mean and SD. Dotted lines indicate individual participant           |

responses. \*Significantly different from baseline (p<0.05); †Significantly different to control

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600 participants (p<0.05).
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#### 602 **TABLES**

| Parameter                                 | Control   | PAD       | P Value |
|---|-----------|-----------|---------|
|   | (n=12)    | (n=12)    |         |
| Age, y                                    | 68±7      | 69±9      | 0.72    |
| Male gender, n (%)                        | 6 (50)    | 8 (67)    | 0.34    |
| Weight, kg                                | 79.7±12.0 | 85.4±22.0 | 0.44    |
| Height, cm                                | 172.3±7.0 | 170.6±7.5 | 0.57    |
| Body mass index, kg.m <sup>-2</sup>       | 26.9±4.0  | 29.1±6.1  | 0.32    |
| Resting ABI <sup>a</sup>                  | 1.16±0.12 | 0.69±0.21 | < 0.01  |
| ECMM, kg                                  | 1.98±0.22 | 1.86±0.37 | 0.36    |
| Plantar-flexion MVC force, N              | 908±366   | 908±203   | 0.99    |
| Plantar-flexion MVC force, % MVC          | 63±22     | 58±13     | 0.40    |
| Plantar-flexion MVC force/ ECMM, N/kg     | 455±154   | 512±170   | 0.39    |
| Cardiovascular risk factors               |           |           |         |
| Previous-smoker, n (%)                    | 2 (17)    | 10 (83)   | < 0.01  |
| Dyslipidemia, n (%)                       | 2 (17)    | 11 (92)   | < 0.01  |
| Hypertension, n (%)                       | 2 (17)    | 8 (67)    | 0.02    |
| Diabetes, n (%)                           | 0 (0)     | 2 (17)    | 0.24    |
| History of coronary artery disease, n (%) | 0 (0)     | 4 (33)    | 0.05    |
| Resting haemodynamics                     |           |           |         |
| Heart rate, beats/min                     | 61±9      | 63±10     | 0.55    |
| Systolic BP, mmHg                         | 136±18    | 134±12    | 0.75    |
| Diastolic BP, mmHg                        | 77±7      | 69±9      | 0.02    |
| Mean arterial pressure, mmHg              | 97±11     | 88±9      | 0.05    |
|   |           |           |         |

603 Table 1. Participant characteristics.

| Blood Biochemistry                      |           |           |        |  |  |  |
|---|-----------|-----------|--------|--|--|--|
| Fasting glucose, mmol.L <sup>-1</sup>   | 5.16±0.37 | 6.48±1.72 | < 0.01 |  |  |  |
| Total cholesterol, mmol.L <sup>-1</sup> | 5.73±0.74 | 4.28±0.93 | < 0.01 |  |  |  |
| LDL cholesterol, mmol.L <sup>-1</sup>   | 3.62±0.65 | 2.21±0.79 | < 0.01 |  |  |  |
| HDL cholesterol, mmol.L <sup>-1</sup>   | 1.53±0.45 | 1.35±0.38 | 0.31   |  |  |  |
| Triglycerides, mmol.L <sup>-1</sup>     | 1.33±0.94 | 1.53±1.01 | 0.67   |  |  |  |
| Medications                             |           |           |        |  |  |  |
| Aspirin or clopidogrel, n (%)           | 2 (17)    | 9 (75)    | < 0.01 |  |  |  |
| Beta blocker, n (%)                     | 0 (0)     | 4 (33)    | 0.05   |  |  |  |
| ACE inhibitor or ARB, n (%)             | 2 (17)    | 9 (75)    | < 0.01 |  |  |  |
| Statin, n (%)                           | 2 (17)    | 11 (92)   | < 0.01 |  |  |  |
| Calcium channel blocker, n (%)          | 2 (17)    | 4 (33)    | 0.32   |  |  |  |
| Metformin, n (%)                        | 0 (0)     | 2 (17)    | 0.24   |  |  |  |

604 Values are expressed as mean  $\pm$  SD or n (%).

ABI = ankle brachial index; ACE = angiotensin-converting enzyme inhibitor; ARB
angiotensin II receptor blocker; BP = blood pressure; ECMM = estimated calf
muscle mass; HDL = high-density lipoprotein; LDL = low-density lipoprotein;
MVC = maximum voluntary contraction; PAD = peripheral arterial disease.
<sup>a</sup> ABI measured in the non-dominant limb of control participants, and in the more
affected limb of PAD patients.

| 612 | Table 2. Whole-leg blood flow and vascular conductance. |
|-----|---|
|-----|---|

| Parameter  | Control (n=12)  | PAD (n=12)      | <i>P</i> value |
|--|-----------------|-----------------|----------------|
| Post-occlusion measures (supine)   |                 |                 |                |
| Baseline blood flow, ml.100ml <sup>-1</sup> .min <sup>-1</sup>                           | 1.94±0.65       | 2.10±0.78       | 0.61           |
| Baseline vascular conductance, ml.100ml <sup>-1</sup> .min <sup>-1</sup> .mmHg           | 0.02±0.01       | $0.02 \pm 0.01$ | 0.33           |
| Post-occlusion blood flow, ml.100ml <sup>-1</sup> .min <sup>-1</sup>                     | 16.55±6.02      | 4.52±3.59       | < 0.01         |
| Post-occlusion vascular conductance, ml.100ml <sup>-1</sup> .min <sup>-1</sup> .mmHg     | $0.18 \pm 0.07$ | $0.05 \pm 0.04$ | < 0.01         |
| Exercise measures (seated)   |                 |                 |                |
| Baseline blood flow, ml.100ml <sup>-1</sup> .min <sup>-1</sup>                           | 1.73±0.95       | 2.04±0.89       | 0.43           |
| Baseline vascular conductance, ml.100ml <sup>-1</sup> .min <sup>-1</sup> .mmHg           | 0.02±0.01       | $0.02 \pm 0.02$ | 0.90           |
| Exercise blood flow (plateau), ml.100ml <sup>-1</sup> .min <sup>-1</sup>                 | 33.12±8.55      | 29.02±8.32      | 0.10           |
| Exercise vascular conductance (plateau), ml.100ml <sup>-1</sup> .min <sup>-1</sup> .mmHg | $0.27 \pm 0.06$ | 0.29±0.09       | 0.75           |
| Exercise mean arterial pressure, mmHg  | 117±22          | 108±16          | 0.15           |
| Exercise heart rate, beats/min   | 61±13           | 71±14           | 0.50           |

613 Values are mean  $\pm$  SD

## CONTROL









**Baseline** 

### Microbubble destruction

**Post-occlusion** peak acoustic intensity (15s)

**Baseline** 

PAD



**Baseline** 

### Microbubble destruction

**Post-occlusion** peak acoustic intensity (34s)



**Baseline** 

# **CONTROL**

### Microbubble destruction

**Post-exercise** peak acoustic intensity (40s)

## PAD

Microbubble destruction

**Post-exercise** peak acoustic intensity (40s)







